

## ESTIMATING BARK THICKNESS OF PINUS RADIATA

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### ABSTRACT

Bark thickness in *Pinus radiata* D. Don is related to over-bark diameter, position up the stem, tree height, and breast-height over-bark diameter. Equations have been derived for predicting bark thickness as a function of these variables and as a function of over-bark diameter alone. By using the bark thickness equations, routine bark-gauge measurements together with their associated measurement errors can be eliminated, which should accelerate the derivation of new, more precise, stem volume functions. The bark thickness equations, used in conjunction with a tree or log taper function, can provide estimates of the volume of bark to be harvested or available for utilisation.

### INTRODUCTION

As all standing timber is encased in bark, measurement of wood volume requires some adjustment to reduce over-bark to under-bark diameters. Most tree volume equations predict the under-bark volume of the stem from a few, efficiently measured, tree variables. To derive these equations a sample of trees is usually sectionally measured to determine "actual" under-bark volume. In New Zealand the adjustment to over-bark diameters is generally made by subtracting the sum of two bark thickness readings from a Swedish bark gauge.

By sampling the bark thickness, bias and imprecision are introduced to the "actual" under-bark volume and so to the derived volume and taper equations. But, as volume and taper equations are the primary building blocks for many mensuration systems, accuracy and precision are essential at this stage to avoid multiplicative errors.

The Swedish bark gauge is not an ideal instrument for a number of reasons. Over-estimation of bark by this gauge has been noted by von Althen (1964) and specifically for *P. radiata* by Carron & McIntyre (1959). Tests on *P. radiata* in New Zealand have shown a resulting 1.44% under-estimate in the volume of 72 logs which were peeled to measure "actual" volume (J. Beers, pers. comm.). The imprecision in volume estimate owing to sampling bark thickness was 1.31% (standard error of the mean as a percentage of "actual") for two readings at each diameter point, decreasing to 0.83% for five readings. This sampling error associated with only two readings tended to increase toward the base of the tree where the bark is rough and the stem

can be fluted. Operator fatigue due to bruised hands can cause bias, especially when measuring large *P. radiata* whose lower bark often exceeds 50 mm in thickness. The importance of operator experience was noted by Gray (1956) who also mentioned that inaccurate readings are easily made. Seasonal bias, due to changes in the density and moisture content of the outer wood layers, results from the gauge entering this wood easily in early summer and so over-estimating (von Althen 1964). When felled trees are being measured, the bark on the underside is effectively removed from the sampling frame unless the logs are destroyed by sectional cutting. Finally, the time involved in bark measurement increases the cost of taking sectional measurements and/or decreases the size of the tree sample.

Thus although over-bark volume can be measured comparatively accurately and rapidly, the under-bark volumes derived using Swedish bark gauge adjustments are less reliable, despite the amount of effort that goes into bark measurement.

As interest increases in efficient use of timber harvesting residues, prediction of the volume and proportions of bark will become important. Although regression equations have been derived to predict bark thickness and volume for *P. radiata* at Kaingaroa Forest (C. J. Goulding, unpubl. data), the data were collected using a Swedish bark gauge and so incorporate the errors mentioned above.

The work reported here is based on data derived solely from taped diameter measurements taken (a) over-bark and (b) under-bark after removing the bark.

The following notation is used:

- D = Taped diameter over-bark (cm)
- d = Taped diameter under-bark after bark peeled (cm)
- B = D - d = double bark thickness (cm)
- D<sub>1.4</sub> = Taped over-bark diameter 1.4 m above ground (cm)
- H = Total tree height (m)
- h = Level of measurement above ground (m)
- V<sub>ob</sub> = Volume over-bark (m<sup>3</sup>)
- V<sub>ub</sub> = Volume under-bark (m<sup>3</sup>)
- V<sub>b</sub> = V<sub>ob</sub> - V<sub>ub</sub> = bark volume (m<sup>3</sup>)
- V<sub>bs</sub> = Volume of bark substance (m<sup>3</sup>)
- S<sub>bs</sub> = Cross-sectional surface area of bark substance (m<sup>2</sup>)

An important distinction must be made between bark volume (V<sub>b</sub>) and the volume of bark substance (V<sub>bs</sub>). The former is equivalent to

$$\frac{\pi}{40000} \int_{h=0}^{h=H} (D^2 - d^2) dh \text{ where } d \text{ and } D \text{ are functions of } h$$

and thus includes all the spaces (caused by cracks, gaps, and projections in the bark surface) enclosed within the diameter tape when D is measured. V<sub>bs</sub> is then V<sub>b</sub> minus the volume of these spaces.

### DATA AND METHODS

The data used were drawn from several sources but all diameter measurements were made using a tape, and the bark was removed by peeling to obtain under-bark readings. Because of a preponderance of observations taken on 10-year-old trees, the data were split into two sets. The 10-year-old trees made up a fairly balanced set and were used to examine bark thickness variation between different localities and individual trees. This set comprised 994 observations on 169 trees from 13 localities, and was also used as independent data to verify functions fitted to the main set.

Six localities were represented in the main data set of 1934 observations on 206 trees. A wide range of tree sizes was covered but the age classes were not uniformly represented as only measurements on 11- and 15-year-old trees were available for two localities. Tables 1 and 2 give the localities from which the trees were sampled and Figs 1 and 2 show the characteristics of the main data set.

TABLE 1—Data set for 10-year-old trees

Locality	No. of trees	No. of observations	
		Total	Average per tree
Lake Taupo	19	115	6.1
Maramarua	10	57	5.7
Athenree	20	118	5.9
Tairua	19	114	6.0
Whangapoua	19	97	5.1
Kaingaroa	10	58	5.8
Waipoua	10	61	6.1
Aupouri	10	68	6.8
Waitangi	10	60	6.0
Waiuku	10	51	5.1
Riverhead	12	71	5.9
Glenbervie	10	63	6.3
Woodhill	10	61	6.1
	169	994	

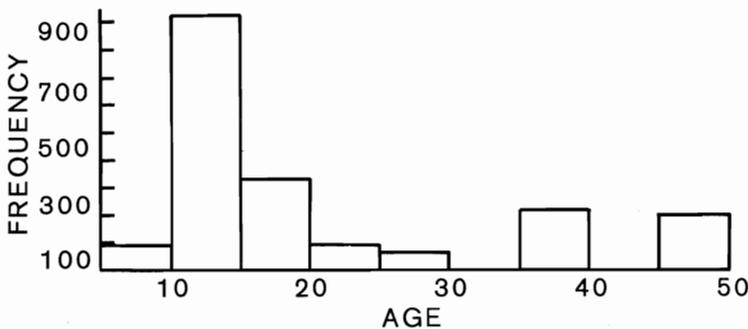


FIG. 1—Distribution of observations by age.

TABLE 2—Main data set

Locality	Age (years)	No. of trees	No. of observations	
			Total	Average per tree
Kaingaroa	11	11	62	5.6
	13	49	639	13.0
	15	21	208	9.9
	18	10	66	6.6
	39	21	221	10.5
Tairua	24	5	33	6.6
	46	17	200	11.9
Whakarewarewa	8	12	95	7.9
Lake Taupo	11	20	157	7.9
Rotoehu	11	10	67	6.7
	15	10	58	5.8
Woodhill	20	10	60	6.0
	29	10	68	6.8
		206	1934	

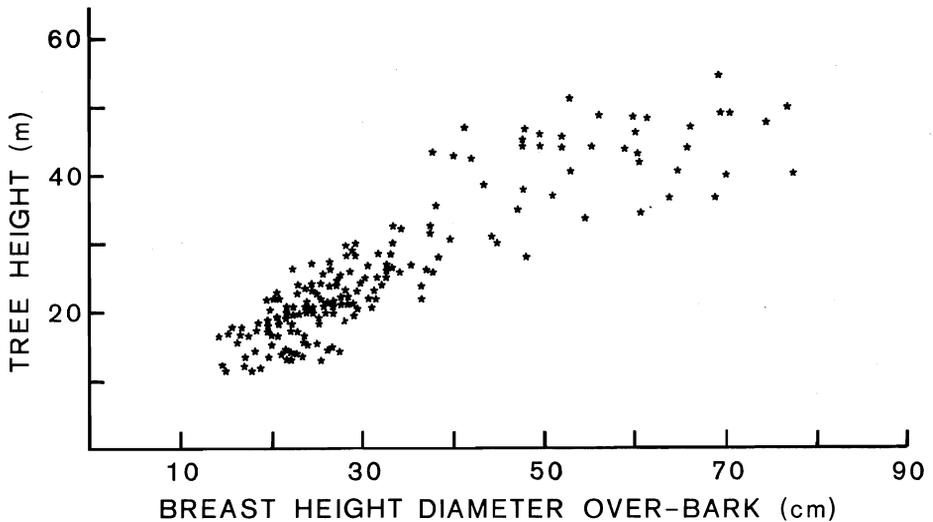


FIG. 2—Height/d.b.h. of sample trees.

On most trees diameters were measured at points 0.15, 0.7, 1.4, then 3, 6, 9, . . . m above ground-level, from the base along the felled stem. The total height was recorded for all trees and crown class was estimated for some 140 trees. Those data recorded in imperial units were converted to metric, although 4.5 ft (1.37 m) was assumed to be breast height (1.4 m).

## RESULTS

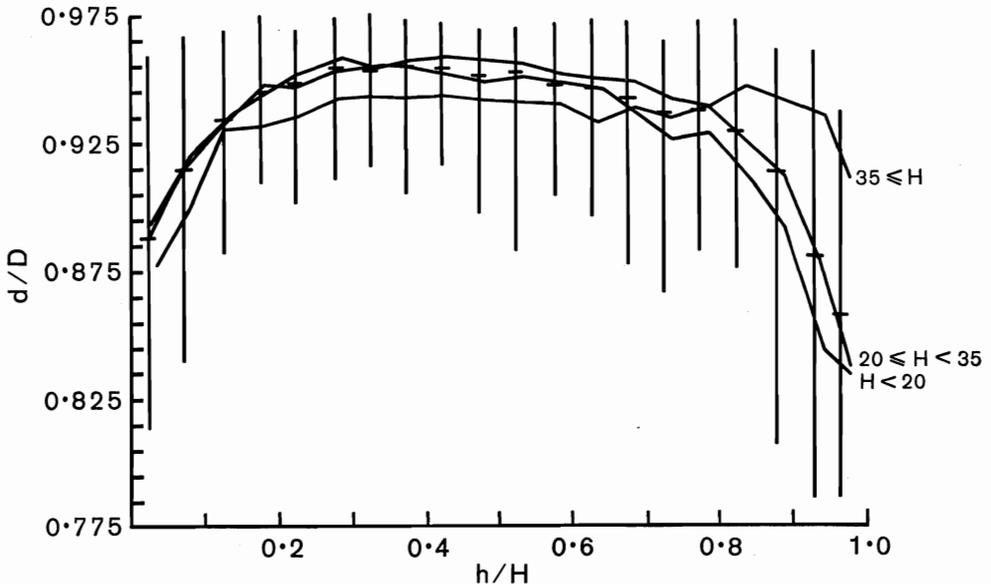
## Variation in Bark Thickness

Bark thickness,  $B$ , varies considerably with changes in diameter. The range of values of  $D$ ,  $d$ ,  $B$ , and the ratio  $d/D$  is given in Table 3.

TABLE 3—Range of main data set

	Minimum	Mean	Maximum
Diameter over-bark (cm)	2.2	22.3	81.0
Diameter under-bark (cm)	1.8	20.7	75.5
Double bark thickness (cm)	0.3	1.7	10.4
Ratio of $d/D$	0.78	0.93	0.98

To determine the influence of position up the stem on the ratio of  $d/D$  these values were plotted over the measurement position as a proportion of height ( $h/H$ ). There were clear trends in the diameter ratio on  $h/H$  in the main data set (Fig. 3). The larger proportion of bark below 15% of height appears to correspond to butt swell in *P. radiata*. This higher proportion of bark towards the base of the tree has been reported by Sands (1975) for *P. radiata* in Australia. Assuming bark remains intact uniformly over the stem to at least age 45 years, he concluded that the increased proportional thickness is due to greater duration or rate of cambial activity in this part of the stem. Above half-height the diameter ratio again decreases. This is because of a steady or slowly increasing rate of under-bark taper with tree height, while bark

FIG. 3—Variation of  $d/D$  ratio with proportion of height and height class.

thickness tends to a constant value (approximately  $B = 0.5$ ). This has been shown for a number of coniferous species, especially the North American "southern" pines by Mesavage (1969) and in Britain by MacDonald (1933) who reported that "The rapid increase in bark percentage in the last two sections (80–100% of height) is characteristic of all the conifers which have been investigated; it is, however, more pronounced in the smaller trees."

By dividing the main data set into height classes and plotting diameter ratio means over  $h/H$  groups, the effect of the size is clarified. The clear divergence of points as  $h/H$  exceeds 80% (Fig. 3) indicates that the point at which under-bark diameter begins to decrease more rapidly, and the resulting  $d/D$  ratio begins to drop, is related to tree height. A measurement of crown level was available for some of the data and this point proved to be in close agreement with the beginning of the  $d/D$  ratio decrease. As Larson (1963) pointed out, the form of the stem within the crown differs appreciably from that below. Thus the effect of height appears to result from the general increase in proportional crown level with height.

To examine bark thickness variation between localities and between trees the data set for 10-year-old trees was used. For consistency with the estimating equations (see below) variation in the transformed ratio  $\log_e (B/D)$ , adjusted for position in the stem and tree height by the covariates  $(1 - h/H)^9$  and  $(h/H)^{0.268H}$ , was analysed (Table 4). The effect of locality and trees within locality were both significant at the 5% level, but the actual proportion of variation associated with these factors was not large.

TABLE 4—Variance components of the transformed bark ratio  $\log_e (B/D)$

Source	Variance	Percentage
Covariates	0.0695	59.7
Locality	0.0051	4.3
Tree within locality	0.0110	9.4
Error	0.0309	26.6

### Estimating Bark Thickness

The main data set was used to develop equations for estimating bark thickness. To meet practical requirements two prediction equations were considered.

(1) *As a function of  $D, b, H, D_{1.4}$*

A number of different equation types using these variables were tried to describe the  $d/D$  curve as it varies with proportional height. Many equations failed to predict adequately near  $h = 0$  or  $h = H$ . Consistently better results were obtained by using  $\log_e (B/D)$  as the dependent variable, and two terms in  $h/H$ .

In the general form:

$$\log_e (B/D) = b_0 + b_1 (1 - h/H)^{P_1} + b_2 (h/H)^{P_2} \dots \dots \dots (1)$$

To account for the effect of height on the rate of  $d/D$  decrease (Fig. 3),  $P_2$  was replaced by various functions of height and normal distribution maximum likelihood estimates of the parameters obtained.

The solution

$$P_1 = 9.0 \quad \text{and} \quad P_2 = 0.268 H \text{-----} \quad (2)$$

was adopted, as the resulting equation fitted the data well and showed no undesirable characteristics when solved for extreme or unusual combinations of variables.

$D_{1.4}$  and  $H/D_{1.4}$  were found to make small but significant decreases in the residual variation of  $\log_e (B/D)$  and were added to the equation. The final version took this form:

$$\log_e (B/D) = b_0 + b_1 (1 - h/H)^{b_2} + b_3 (h/H)^{b_4 H} + b_5 D_{1.4} + b_6 H/D_{1.4} \text{-----} \quad (3)$$

The coefficients (with standard errors) are:

$b_0$	$b_1$	$b_2$	$b_3$
-3.023 (0.044)	1.107 (0.020)	9.0 (0.4)	1.564 (0.037)
$b_4$	$b_5$	$b_6$	
0.268 (0.013)	0.004 28 (0.000 42)	-0.226 (0.043)	

Under-bark diameter is then estimated as  $d = D - B$

(2) *As a function of D*

An approximate but robust means of estimating bark thickness and volume was given by Meyer (1946) who observed that the plot of  $d$  over  $D$  formed a straight line. As the variance of  $d$  increased proportionally with  $D$ , the weighted least squares solution of the equation:

$$d = k D \text{-----} \quad (4)$$

is given by:  $k = \frac{\sum d}{\sum D} \text{-----} \quad (5)$

From this Meyer developed the following estimates:

$$B = D (1 - k) \text{-----} \quad (6)$$

$$V_b = V_{ob} (1 - k^2) \text{-----} \quad (7)$$

$$[\text{or } V_b = V_{ub} (1/k^2 - 1) \text{-----} \quad (8)]$$

From the main data set a value of  $k = 0.926$  was calculated using (4). Although this value can be used to estimate  $B$  in (6) it will give biased estimates of bark volume. The application of Equations (7) and (8) should be limited, as Meyer noted, to trees of one size-class and sample measurements to estimate  $k$  must be taken at the half-volume point up the stem to minimise bias. Values of  $k$ , over three height-classes, were calculated from (4) using those measurements closest to the half-volume point of each tree (Table 5). More accurate estimates of bark volume using (7) and (8) will be obtained using these values.

TABLE 5—k values and bark volume percentages

	Height classes		
	< 20 m	20-35 m	> 35 m
k	0.951	0.951	0.938
Bark volume			
Percentage of $V_{ob}$	9.6	9.5	12.1
Percentage of $V_{ub}$	10.6	10.5	13.7

As the estimate of B given by (6) makes no adjustment for the changes in d/D with position in the stem, further terms in D were tried to improve this estimate. Three terms were found to be significant and the resulting equation was:

$$d = b_0 + b_1 D + b_2 D^2 + b_3 D^3 \dots\dots\dots (9)$$

The least squares estimates and standard errors (using weights of  $1/D^2$ ) were:

$b_0$	$b_1$	$b_2$	$b_3$
$-6.440 \times 10^{-1}$	1.0465	$-4.428 \times 10^{-3}$	$3.558 \times 10^{-5}$
$(0.212 \times 10^{-1})$	(0.0047)	$(0.248 \times 10^{-3})$	$(0.331 \times 10^{-5})$

Equation (9) can be solved for D (see Appendix 1) to give bark estimates when under-bark diameters are known or can be predicted.

**Bark Volume Estimates**

Bark volume between any limits,  $h_1$  to  $h_2$ , can be defined as:

$$V_b = \frac{\pi}{40000} \int_{h_1}^{h_2} (D^2 - d^2) dh \text{ where } D \text{ and } d \text{ are functions of } h.$$

A number of appropriate taper functions for *P. radiata* can be used (Goulding & Murray 1976; Katz *et al.* (in prep.):

(1) When  $D_{1.4}$  and H are known

Notation

let  $e^{[1]} = e^{[b_0 + b_1 (1 - h/H)^{b_2} + b_3 (h/H)^{b_4 H} + b_5 D_{1.4} + b_6 H/D_{1.4}]}$

from (3)

then  $B = De^{[1]}$ ,  $D = \frac{d}{1 - e^{[1]}}$ , and  $B = \frac{de^{[1]}}{1 - e^{[1]}}$

(1a) Using an under-bark taper function

$$V_b = \frac{\pi}{40000} \int_{h_1}^{h_2} \frac{d^2 e^{[1]} (2 - e^{[1]})}{(1 - e^{[1]})^2} dh$$

(1b) Using an over-bark taper function

$$V_b = \frac{\pi}{40000} \int_{h_1}^{h_2} D^2 e^{[1]} (2 - e^{[1]}) dh$$

(2) When only  $D$  or  $d$  is known

(See Appendix 2 for use with a three-dimensional log volume formula)

Notation

let  $f(D) = b_0 + b_1 D + b_2 D^2 + b_3 D^3$

from (9)

$g(d) =$  the solution of  $f(D)$  for  $D$  (see Appendix 1).

(2a) Using an under-bark taper function

$$V_b = \frac{\pi}{40000} \int_{h_1}^{h_2} g(d)^2 - d^2 dh$$

(2b) Using an over-bark taper function

$$V_b = \frac{\pi}{40000} \int_{h_1}^{h_2} D^2 - f(D)^2 dh$$

These integrals can be easily solved numerically using a procedure such as that given by Gerald (1978) for Romberg integration.

### Estimating Volume of Bark Substance

Measurements of actual bark cross-sectional surface areas ( $S_{bs}$ ) have been published by Sands (1975), who used a dot grid and vernier calipers to measure  $S_{bs}$  on 265 discs cut from 15 *P. radiata* trees felled in the Mt. Gambier district of South Australia. Examination of these data showed that the ratio of actual to nominal bark cross-sectional area decreased with increasing over-bark diameter. Thus an adjustment to the foregoing estimates of bark volume can be made by predicting the ratio as a function of  $D$ .

A linear function was fitted to Sands' data giving:

$$\frac{S_{bs}}{\frac{\pi}{4}(D^2 - d^2)} = 0.82 - 0.0041 D \text{ ..... (10)}$$

(standard errors 0.05, 0.0019)

This adjustment is approximate and should be verified with local data where possible. Estimates of the ratio vary from 0.78 ( $D = 10$ ) to 0.64 ( $D = 45$ ).

## DISCUSSION

### Accuracy of the Estimates

Equation (3) has a root mean square error of 2.2% for estimates of under-bark diameter,  $d$ . When solved for  $B$ , the root mean square error is 27.8% with an overall bias of 0.0034 cm. This over-estimate is negligible, being only 0.2% of the mean bark thickness and less than 0.02% of the mean under-bark diameter. When applied to the independent data set of 10-year-old trees the equation was biased by only 0.002% of the mean under-bark diameter and showed good precision, the root mean square error being less than 2.1%. Below 15% of height the precision of estimate decreases owing to the increasing variability of the  $d/D$  ratio (Fig. 3). The decrease in precision is also seen with increasing  $B$  and  $D$ , which are closely related to percentage of height. Plots of residuals over predicted values and all independent variables showed no trends in error. To examine the locality effects of the independent data, histograms of normalised residuals were plotted for each locality but only the Kaingaroa data showed a slight displacement from zero. Predicted under-bark diameters of these 58 observations showed an average over-estimate of 0.23 cm.

Equation (9) has root mean square errors of 2.9% and 41.2% for estimates of  $d$  and  $B$  respectively and is unbiased. With only  $D$  as the predictor variable this solution is less accurate than (3), under-estimating bark thickness below 15% of height and over-estimating between 15% and 60% of height. Apart from this the fit is satisfactory as the cubic function tends to follow Fig. 3 if scale of the abscissa ( $h/H$  from 0 to 1) is replaced by  $D$  from 60 cm to 0 cm.

Equation (4) has root mean square errors of 3.7% and 56.3% for estimates of  $d$  and  $B$  respectively. This equation under-estimates bark thickness below 10% and above 80% of tree height and over-estimates between these points.

### Variation Between Locality and Trees

From the analysis of the data for 10-year-old trees, both locality and trees within locality were shown to be significant. The differences seen between localities and between trees are likely to be a combination of genetic, regional, and specific site effects.

There is little extant information relating bark thickness to site and stand effects. The bark of *Pinus elliottii* Engelm. has been shown to be thicker on free-draining soils (Miller 1961) but, when examining *P. taeda* L., Pederick (1970) concluded that there was insufficient evidence of the environmental influences to define trends. Using an

exhaustive list of tree characteristics, site characteristics, and stand density measures, Monserud (1979) found none to make more than a 2% reduction in the variation of the  $d/D$  and  $d^2/D^2$  breast height ratio in *Pseudotsuga menziesii* (Mirb.) Franco.

Population differences in *Pinus radiata* bark thickness have been shown by R. D. Burdon and others (unpubl. data), Monterey trees tending to grow slightly thicker bark than those from Ano Nuevo. However, as Table 4 suggests, it is unlikely that attempts to adjust for locality would be worthwhile unless bark measurement can be improved greatly in speed and precision. This also applies to the tree effect, although given new bark measurement techniques the inclusion of the value of  $B$  (or  $B/D$ ) at breast height as a predictor variable may be justifiable. This value is widely used with Grosenbaugh's (1967) dendrometer program and other bark and bark volume equations (Kozak & Yang 1981; Brickell 1970).

### Interpreting Equation (3)

By solving Equation (3) over a range of tree  $D_{1.4}$  and height, curves can be drawn relating the diameter ratio  $d/D$  to proportion of height for different tree sizes. These are shown in Fig. 4 and demonstrate some of the features of the equation.

- (1) For any given  $D_{1.4}$ , bark thickness increases as tree height decreases. This trend is most pronounced in smaller trees, possibly because of the greater variation in the proportion of stem covered by green crown.
- (2) Bark thickness in the lower 20% of the stem increases with increasing  $D_{1.4}$ . Thus the pronounced butt swell in large trees is due in part to thicker bark, which can exceed 15% of the over-bark diameter at ground level.
- (3) Bark thickness makes up a relatively constant proportion of over-bark diameter over the section of the stem from approximately 20% to 70% of tree height. In this part under-bark diameter is close to 95% of over-bark diameter regardless of tree size.
- (4) In trees of lower height the  $d/D$  ratio begins to decrease at a lower position on the stem. This corresponds approximately to crown level, at which point  $d$  begins to decrease more rapidly but  $B$  tends to a constant value, as Sands (1975) clearly showed.

### Use of Estimating Equations

Equations (3) and (9) should be applied only within the range of data from which they were derived (see Table 3, Fig. 1 and 2). Although the tests run using the independent data from 10-year-old trees were successful, it would be safe practice to further test these equations before applying them to *P. radiata* growing in localities outside the range of Tables 1 and 2 or on unusual sites. For example, trees growing in an old shelterbelt from an atypical seed source may show different trends in bark thickness.

Until bark measurement techniques improve considerably, Equations (3) and (9) should provide estimates of bark thickness and volume that are more efficient than current estimates based on bark readings using the Swedish gauge. However, when

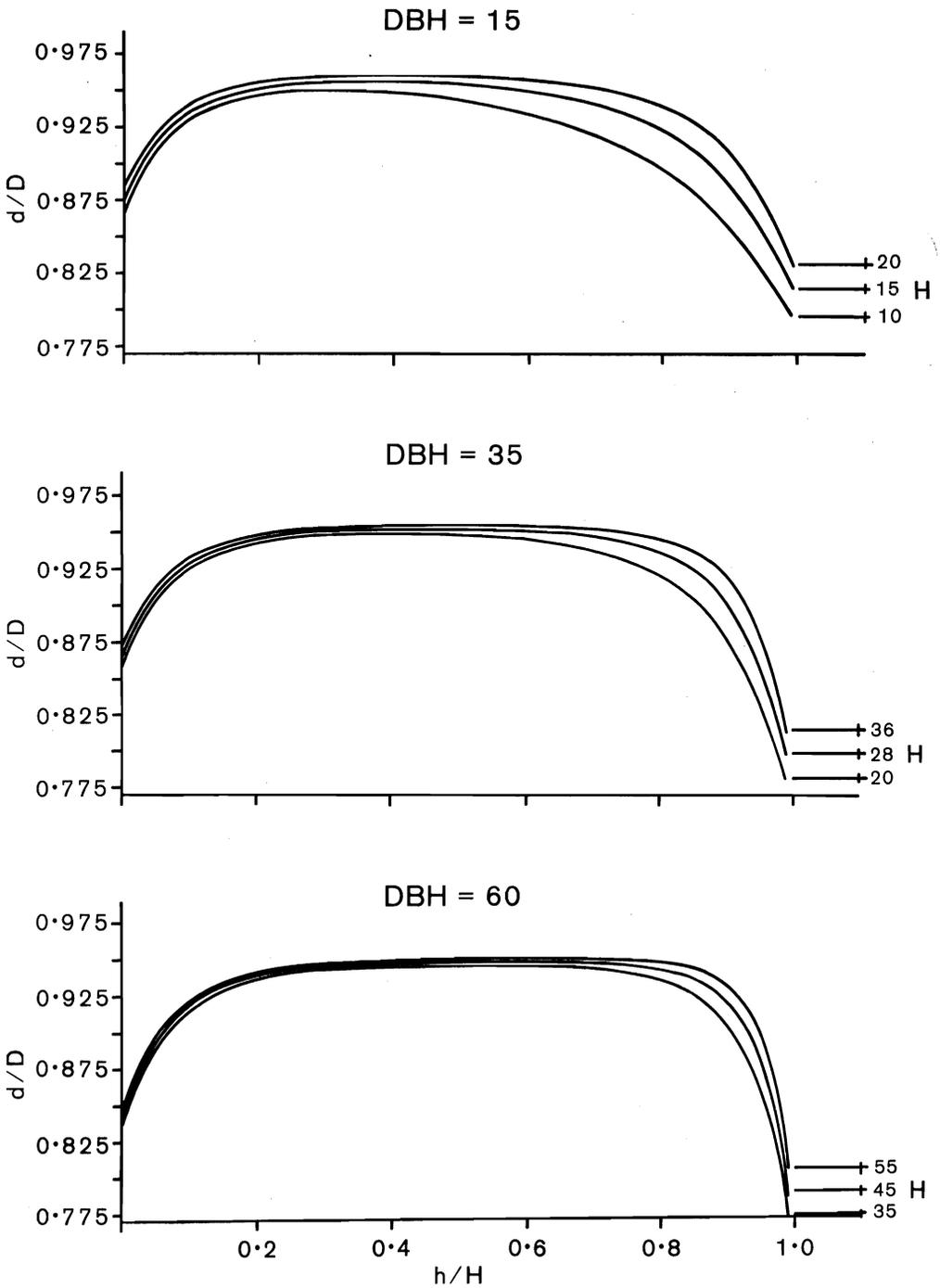


FIG. 4—The ratio  $d/D$  predicted by Equation (3).

bark can be measured quickly and precisely, further study into using sample measurements on each tree or log may show worthwhile improvements can be made.

#### ACKNOWLEDGMENTS

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**APPENDIX 1**

**ESTIMATES OF OVER-BARK DIAMETER FROM UNDER-BARK DIAMETER WHEN ONLY THE LATTER IS KNOWN**

Equation (9) presented above for predicting under-bark diameter has the form

$$d = B_0 + B_1 D + B_2 D^2 + B_3 D^3$$

This can be solved directly for D over the range  $1.8 \leq d \leq 75.5$  as follows:

let  $Q = 1/3 (B_1/B_3) - 1/9 (B_2/B_3)^2$   
 $R = 1/6 ((B_1/B_3) (B_2/B_3) - 3 (B_0 - d)/B_3) - 1/27 (B_2/B_3)^3$   
 $S_1 = (R + (Q^3 + R^2)^{1/2})^{1/3}$   
 $S_2 = - ((- (R - (Q^3 + R^2)^{1/2}))^{1/3})$   
 then  $D = (S_1 + S_2) - B_2/3B_3$

**APPENDIX 2**

**ESTIMATING BARK VOLUMES OF LOGS USING A 3D FORMULA**

This formula (Ellis 1982) for exotic conifer logs in New Zealand gives an estimate of log volume under-bark as:

$$V = K d_s^2 L + e[1.944 157 \log_e (L) + 0.029 931 d_s + 0.884 711 \log_e ((d_1 - d_s)/L) - 6.946 430] \dots \dots \dots (11)$$

- where  $d_s$  = small-end diameter under-bark (cm)
- $d_1$  = large-end diameter under-bark (cm)
- $L$  = log length (m)
- $V$  = log volume (m<sup>3</sup>)
- $K = 7.853 982 \times 10^{-5}$

or using an average taper = T (cm/m)  
 $d_l = d_s + T L$

Assuming a simple under-bark taper curve described by  
 $d^2 = b_0 + b_1 l + b_2 l^2 \dots \dots \dots (12)$

- where  $l$  = length from butt (m)
- $b_0, b_1, b_2$  are constant and coefficients
- $d$  = under-bark diameter (cm)

then (12) can be solved directly for any log given  $d_s, L, V,$  and  $d_1$  or  $T$ . The resulting taper curve will generate volumes identical to (11) when integrated over the whole log. The constant and coefficient are:

$$b_0 = d_1^2$$

$$b_1 = 6V/(KL^2) - 6d_1^2/L + (2/L)(d_1^2 - d_s^2)$$

$$b_2 = -6V/(KL^3) + 6d_1^2/L^2 - (3/L^2)(d_1^2 - d_s^2)$$

Bark volumes can then be estimated by applying bark thickness equations to the taper curve derived for each log.